

PRIVACY NOTICE



Facts	What Does Merkle Retirement Planning, LLC (“MRP”) Do With Your Personal Information?		
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.		
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> • Social Security Number and investment experience • Income and risk tolerance • Assets and account transactions <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>		
How?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information, the reasons MRP chooses to share, and whether you can limit this sharing.		
Reasons we can share your personal information		Does MRP Share?	Can you limit sharing?
For our everyday business purposes – such as to process your transaction, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus		Yes	No
For our marketing purposes – to offer our products and services to you		Yes	No
For joint marketing with other financial companies		No	MRP doesn’t share
For our affiliates’ everyday business purposes – information about your transactions and experiences		Yes	No
For our affiliates’ everyday business purposes – information about your creditworthiness		No	MRP doesn’t share
For nonaffiliates to market to you		No	MRP doesn’t share
What we do			
How does MRP protect my personal information?	To protect your personal information from unauthorized access and use, we maintain security measures that comply with federal law. These measures include administrative, technical, and physical safeguards such as secure systems, access controls, and secured files and facilities.		
How does MRP collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> • Open an account or give us your contact information • Seek advice about your investments or tell us about your investment or retirement portfolio • Enter into an investment advisory contract • Direct us to buy or sell your securities <p>We may also collect your personal information from other sources, such as custodians, credit bureaus, affiliates, or other financial institutions.</p>		
Why can’t I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> • Sharing for affiliates’ everyday business purposes – information about your creditworthiness • Affiliates from using your information to market to you • Sharing for nonaffiliates to market to you 		

What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account.
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DEFINITIONS

Affiliates	Companies related by common ownership or control. They can be financial and non-financial companies. <ul style="list-style-type: none"> • Our affiliates include financial companies such as MRP Insurance LLC and Merkle Tax Solutions LLC.
Nonaffiliates	Companies not related by common ownership or control. They can be financial and non-financial companies. <ul style="list-style-type: none"> • We do not share your personal information with nonaffiliates for them to market their products or services to you. • In limited circumstances, if your financial professional terminates their relationship with our firm and joins another investment advisory firm, certain client contact information (such as name, address, telephone number, email address, and account title) may be shared with the new firm as permitted by applicable law.
Joint Marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"> • MRP does not jointly market.

To limit our sharing	<ul style="list-style-type: none"> • Call (515) 278-4110 and ask for the Compliance Department • Email: Compliance@MerkleTeam.com • or mail in the form provided at the end of this notice. <p>If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice.</p> <p>When you are no longer our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.</p>
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Questions	Call (515) 278-4110 or email Compliance@MerkleTeam.com
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Mail-in Form

<p>Email to:</p> <p>Mail To:</p>	<p><input type="checkbox"/> Do not share my personal information with nonaffiliates to market their products and services to me.</p> <p>By completing and returning this form, you are instructing MRP to limit the personal information that may be disclosed if your financial professional terminates their relationship with MRP and joins another investment advisory firm.</p> <p>However, you understand that MRP may disclose certain limited contact information — such as your name, address, telephone number, email address, and account title — to the new investment advisory firm as permitted under federal law, applicable state laws, or the Protocol for Broker Recruiting.</p> <p>Name: _____</p> <p>Address: _____</p> <p>City, State, Zip: _____</p> <p>Date of Birth _____</p> <p>Compliance@MerkleTeam.com</p> <p>Attention: Compliance Merkle Retirement Planning 1860 SE Princeton Drive Grimes, IA 50111</p>
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